

PART 3 – TRAINING

AML/CFT

10. Have you attended any AML/CFT training during the last 12 months? **Yes** **No**

If yes, please specify the areas covered in the training? _____

CONTINUOUS PROFESSIONAL DEVELOPMENT (CPD)

11. Have you attended any professional training during the period? **Yes** **No**

If yes, please provide details and attach a certified copy of the certificate. _____

PART 4 – GENERAL INFORMATION

Please answer the following questions. If yes, please provide details in the box below. Attach additional pages as necessary.

12. In the last year, have you:

- a. been convicted of any criminal offence? **Yes** **No**
- b. been a defendant or respondent in any proceedings in any civil court in which fraud was alleged? **Yes** **No**
- c. had a judgement against you for the award of money that has not been satisfied? **Yes** **No**
- d. been the subject of proceedings in bankruptcy? **Yes** **No**

